

# **INFECTIONS IN NEONATES**

## **LECTURE FOR STUDENTS**

**MAJID MOHAMMADIZADEH MD  
ISFAHAN UNIVERSITY OF MEDICAL SCIENCES  
DEPARTMENT OF PEDIATRICS  
DIVISION OF NEONATOLOGY**

Handwritten Arabic calligraphy in a highly decorative, cursive style (likely Thuluth or similar). The text is written in black ink with prominent red highlights on the upper portions of the letters. The script is dense and intricate, featuring long, sweeping flourishes and sharp, pointed terminals. The overall appearance is that of a formal or ceremonial inscription.

# INTRODUCTION

- Despite advances in maternal and neonatal care, infections remain a frequent and important cause of neonatal and infant morbidity and mortality
- Up to 10% of infants have infections in the 1<sup>st</sup> month of life
- It is more common in areas with limited access to healthcare than in areas with well-established healthcare infrastructure

- Neonates have attenuated immune responses, *thus*:
  - They are uniquely prone to invasive disease
  - This attenuated response often result in minimal or nonspecific clinical manifestations
    - ✓ Effective treatment requires attention to subtle signs of infection
    - ✓ Compared to older infants, newborns are often treated empirically while awaiting laboratory results

- Preterm infants are particularly susceptible to infection, because of:
  - more decreased innate immunity
  - decreased barrier defenses
  - their prolonged stay in hospital settings

*It will be discussed in more details in the following sections*

# **CLASSIFICATION OF INFECTIONS**

- Infections in the newborn are often classified by their timing relative to birth
- These include:
  - Congenital or intrauterine
  - perinatal
  - early-onset
  - late-onset
- These are clinically useful designations because:
  - the mechanisms of infection, etiologies and outcomes are distinct at each stage



- **Congenital infection:**

- denotes infection acquired in utero
- generally caused by viral or other non-bacterial organisms
- often associated with injury to developing organs

- **Perinatal infection:**

- indicates acquisition around the time of delivery
- organisms include both bacteria and viruses
  - ✓ some of them are the same as those causing congenital infection, but often manifest with different features

- **Early-onset infection:**
  - occurs in the first 3 days after birth
  - is *generally* the consequence of infection caused by organisms acquired during the perinatal period
- **Late-onset infection:**
  - occurs after 3 days of life
  - caused by organisms that are *typically* acquired in the postnatal period
- Some studies categorize early-onset and late-onset infections as within the first 7 days of age and after 7 days, respectively
  - *It is used particularly* for infants not continuously admitted to the hospital from birth, who are exposed to pathogens in the community

# **MODES OF TRANSMISSION**

**OVERALL CONCEPTS IN PATHOGENESIS**

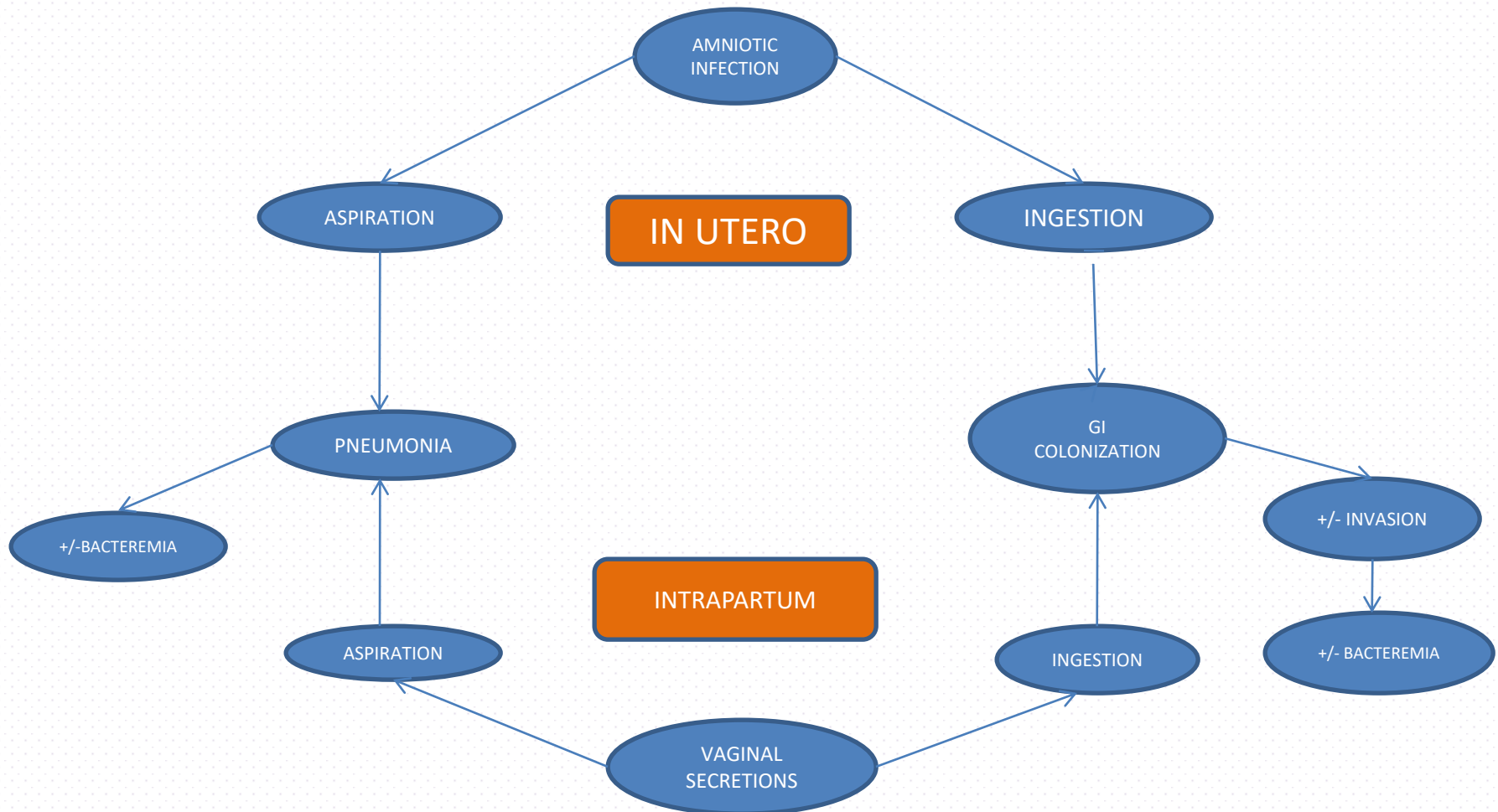
# MODES OF TRANSMISSION OF INFECTIOUS AGENTS

- Transplacental
- Vertical (ascending)
- Postnatal (horizontal)

# VERTICAL MODE

- The human birth canal is colonized *with aerobic and anaerobic bacteria*
- Modes of transmission of *these microorganisms*:
  - **Ascending amniotic infection:** may occur even with:
    - ✓ *either* apparently intact membranes
    - ✓ *or* relatively brief duration of membrane rupture
  - As the newborn infant passes through the vaginal canal

# PATHWAYS OF ASCENDING OR INTRAPARTUM INFECTION



# VERTICAL MODE

- *As mentioned above*, vertical transmission of bacterial agents that infect the amniotic fluid and vaginal canal may occur:
  - in utero
  - *or more often*, during labor and delivery
- In most cases, the fetus or neonate is not exposed to these potentially pathogenic bacteria until:
  - the membranes rupture
  - *and* the infant passes through the birth canal and/or enters the extrauterine environment

## **TIME OF CLINICAL PRESENTATION RELATED TO THE TIME OF EXPOSURE TO INFECTIOUS AGENTS**

- **in amniotic fluid:**
  - may lead to congenital pneumonia or systemic infection
  - manifestations of systemic infection become apparent:
    - before delivery: fetal distress, tachycardia
    - at delivery: failure to breathe, respiratory distress, shock
    - after a latent period of a few hours: respiratory distress, shock
- **during the birth process:**
  - may lead to infection after an interval of 1-2 days



# HORIZONTAL MODE

- Microorganisms are acquired from:
  - Community
  - Hospital

# MODES OF TRANSMISSION

*according to the classification of neonatal infections*

- Congenital infections
  - transplacental
- Perinatal infections
  - vertical
- Early-onset infections
  - vertical: *most often*
  - horizontal
- Late-onset infections
  - horizontal
  - vertical: *very uncommon*

*In the following sections, below the titles “EOS” and “LOS”, I will discuss in this regard in some more details*

# **ETIOLOGY**

***AT A GLANCE***

## **ETIOLOGY-** *at a glance*

- A number of bacterial and nonbacterial agents may infect newborns in the intrapartum or postpartum period

# ETIOLOGY- *at a glance*

## NONBACTERIAL CAUSES OF SYSTEMIC NEONATAL INFECTIONS

### VIRUSES

Adenovirus  
Cytomegalovirus (CMV)  
Enteroviruses  
Parechoviruses  
Hepatitis B and C viruses  
Herpes simplex virus (HSV)  
Human immunodeficiency virus (HIV)  
Parvovirus  
Rubella virus  
Varicella-zoster virus (VZV)

### MYCOPLASMA

Mycoplasma hominis  
Ureaplasma urealyticum

### FUNGI

Candida spp.  
Malassezia spp.

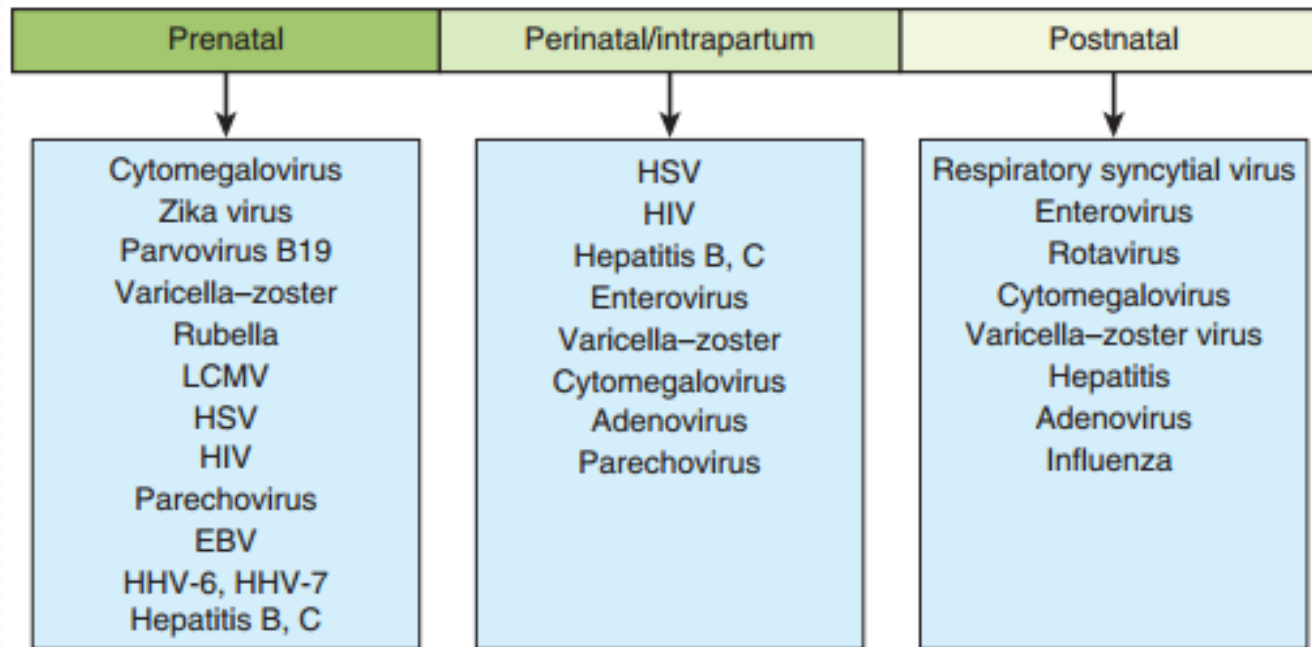
### PROTOZOA

Plasmodia  
Toxoplasma gondii  
Trypanosoma cruzi

# ETIOLOGY- *at a glance*

- HSV, HIV, HBV, HCV and TB can result in transplacental infection
- *But* the most common mode of transmission for these agents is:
  - Intrapartum: during labor and delivery with passage through an infected birth canal: HIV, HSV, HBV
  - Postpartum: from contact:
    - with an infected mother or caretaker: TB
    - with infected breast milk: HIV

# Relative importance of neonatal viral infections related to the timing of acquisition of infection



- Viruses are listed in declining order of importance relative to prenatal, perinatal (intrapartum) and postnatal timing of typical infection
- Some neonatal virus infections (e.g., CMV) can be substantial causes of disease whether acquired during gestation or acquired postpartum  
*whereas* others (e.g., respiratory syncytial virus) are typically acquired in the postnatal period
- EBV: Epstein-Barr virus; HHV: human herpesvirus; LCMV: lymphocytic choriomeningitis virus

# **CONGENITAL AND PERINATAL INFECTIONS**



# CONGENITAL INFECTIONS

# **ETIOLOGY**

- As many as 2% of fetuses are infected in utero
- Disease can be acquired prenatally from a wide variety of etiologic agents, including bacteria, viruses, fungi and protozoa

# Specific infectious agents

- **Bacteria**
  - *Listeria monocytogenes*
  - *Treponema pallidum*
- **Viruses**
  - CMV
  - Hepatitis B
  - Hepatitis C
  - HSV
  - HIV
  - Human parvovirus B19
  - Lymphocytic choriomeningitis virus
  - Rubella
  - Varicella-zoster virus
  - Zika virus
- **Parasite**
  - *Toxoplasma gondii*

# **GENERAL APPROACH**

- Clinical manifestations can range from asymptomatic or subclinical to life-threatening disease
- Findings of history and physical examination provide insight into the best approach for this immunologically immature population

- Some noninfectious processes which should be considered in the differential diagnosis of congenital and perinatal infections:
  - underlying congenital heart disease
  - genetic disorders
  - inborn errors of metabolism

- *As previously mentioned*, clinical manifestations are:
  - varied
  - *and* overlap for many of the pathogens causing intrauterine infection
- *Along with history and physical examination*, laboratory testing and/or radiologic imaging is often required to confirm the diagnosis
- Treatment depends on the specific pathogen and can range:
  - *from* symptomatic management with close follow-up for long-term sequelae
  - *to* targeted antimicrobial therapy



# **PATHOGENESIS**

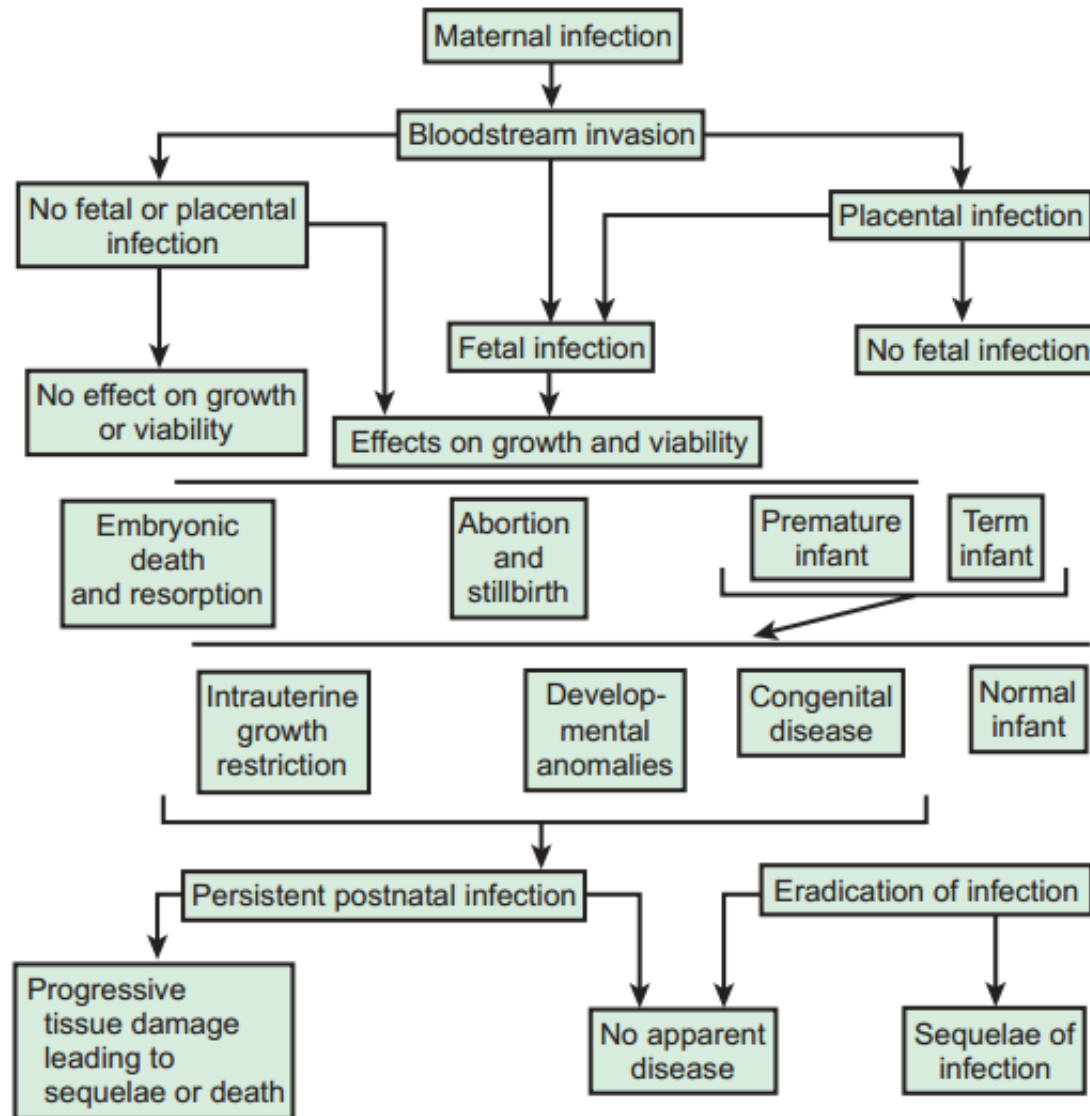
- Some intrauterine infections may cause *minimal or no symptoms* in the mother but still may be transmitted across the placenta to the fetus
  - CMV
  - rubella virus
  - varicella-zoster virus
  - human parvovirus B19
  - treponema pallidum
  - toxoplasma gondii

- The presence of maternal antibodies to rubella prevents infection
- *But* transmission of CMV can occur despite preexisting antibodies
  
- Regardless of the mother's immune status, **the placenta may act as a barrier** and the fetus may or may not be infected

- If infection occurs, signs may or may not be noted in the fetus during pregnancy
- Infection can result in:
  - spontaneous abortion
  - Stillbirth
  - congenital malformation
  - intrauterine growth restriction (IUGR)
  - premature birth
  - acute or delayed disease in the neonate
  - asymptomatic persistent infection with sequelae later in life

- The route and timing of infection can provide helpful clues as to the potential infectious etiology
- **First-trimester infection** may alter embryogenesis and result in malformations of the heart and eyes, *as seen in congenital rubella syndrome*
- **Third-trimester infection** *for example congenital toxoplasmosis* can result in active infection with signs of hepatomegaly, splenomegaly and generalized lymphadenopathy at birth
- **Infections that occur late in gestation** *for example congenital syphilis* may lead to a delay in clinical manifestations until weeks to years after birth

# Pathogenesis of hematogenous transplacental infections



# **CLINICAL MANIFESTATIONS**

## Clinical Manifestations of Specific Neonatal Infections Acquired in Utero or at Delivery

Rubella Virus	Cytomegalovirus	Toxoplasma gondii
Hepatosplenomegaly	Hepatosplenomegaly	Hepatosplenomegaly
Jaundice	Jaundice	Jaundice
Pneumonitis	Pneumonitis	Pneumonitis
Petechiae <i>or</i> purpura	Petechiae <i>or</i> purpura	Petechiae <i>or</i> purpura
Meningoencephalitis	Meningoencephalitis	Meningoencephalitis
Hydrocephalus	Hydrocephalus	<b>Hydrocephalus*</b>
Adenopathy	<b>Microcephaly*</b>	Microcephaly
Hearing deficits	<b>Intracranial calcifications*</b>	Maculopapular exanthems
Myocarditis	Hearing deficits	<b>Intracranial calcifications*</b>
<b>Congenital defects*</b>	Chorioretinitis <i>or</i> retinopathy	Myocarditis
<b>Bone lesions*</b>	Optic atrophy	Bone lesions
<b>Glaucoma*</b>		<b>Chorioretinitis <i>or</i> retinopathy*</b>
<b>Chorioretinitis <i>or</i> retinopathy*</b>		Cataracts
<b>Cataracts*</b>		Optic atrophy
Microphthalmia		Microphthalmia
		Uveitis

\*Has special diagnostic significance for this infection



## Clinical Manifestations of Specific Neonatal Infections Acquired in Utero or at Delivery

Herpes Simplex Virus	Treponema pallidum	Enteroviruses
Hepatosplenomegaly Jaundice Pneumonitis Petechiae <i>or</i> purpura Meningoencephalitis Hydrocephalus Microcephaly Maculopapular exanthems Vesicles* Myocarditis Chorioretinitis <i>or</i> retinopathy Cataracts Conjunctivitis <i>or</i> keratoconjunctivitis*	Hepatosplenomegaly Jaundice Pneumonitis Petechiae <i>or</i> purpura Meningoencephalitis Adenopathy Maculopapular exanthems* Bone lesions* Glaucoma Chorioretinitis <i>or</i> retinopathy Uveitis	Hepatosplenomegaly Jaundice Pneumonitis Petechiae <i>or</i> purpura Meningoencephalitis Adenopathy Maculopapular exanthems Paralysis* Myocarditis* Conjunctivitis <i>or</i> keratoconjunctivitis

\*Has special diagnostic significance for this infection

## Syndromes in the Neonate Caused by Other Congenital Infections

ORGANISM	SIGNS
Varicella-zoster virus	limb hypoplasia, cicatricial skin lesions, ocular abnormalities, cortical atrophy
Parvovirus B19	nonimmune hydrops fetalis
HIV	severe thrush, failure to thrive, recurrent bacterial infections, calcification of basal ganglia
Zika virus	microcephaly, lissencephaly, cerebellar hypoplasia, akinesia syndrome, macular scarring, retinal mottling, subcortical calcifications, hypertonia

# Late Sequelae of Intrauterine Infections

CLINICAL SIGN	INFECTION			
	CMV	Rubella Virus	Toxoplasma gondii	Treponema pallidum
Deafness	+	+	+	+
Dental/skeletal problems	+	+	(-)	+
Mental retardation	+	+	+	+
Seizures	+	+	+	+

+: Present

(-): rare or absent

# PERINATAL INFECTIONS

# Definition

- Infections that are transmitted from the mother to the fetus or newborn infant during the birth process
- Perinatally acquired infections may have clinical manifestations:
  - before birth
  - at birth *or* only after a few hours
  - as early-onset infectious disease
  - *less commonly*, as late-onset infectious disease

# **ETIOLOY**

- *Even in the USA*, despite recommended universal screening of pregnant women for *Chlamydia trachomatis* and gonorrhea, transmission to the newborn still occurs
- In addition to these STIs, other bacteria, viruses and *Candida spp.* May cause perinatal infections
- Similar to congenital infections, their presentation can range from asymptomatic to a sepsis-like syndrome

# Specific infectious agents

- **Bacteria**
  - Chlamydia trachomatis
  - Escherichia coli
  - Genital mycoplasmas
  - Group B streptococci
  - Neisseria gonorrhoeae
  - Treponema pallidum
- **Viruses**
  - CMV
  - Enterovirus
  - HBV
  - HSV
  - HIV
- **Fungi**
  - Candida spp



# **PATHOGENESIS**

- *As previously mentioned*, the responsible microorganisms are vertically acquired via one of the two below routes:
  - **Ascending amniotic infection:** may even occur with:
    - ✓ either apparently intact membranes
    - ✓ or relatively brief duration of membrane rupture
  - **As the newborn infant passes through the vaginal canal**

- This acquisition may result in either colonization or disease
- Factors influencing which colonized infants will experience disease are not well understood, but include:
  - prematurity
  - underlying illness
  - genetic predisposition
  - invasive procedures
  - inoculum size
  - virulence of the infecting organism
  - the innate immune system
  - host response
  - transplacental maternal antibodies

# CHORIOAMNIONITIS

- It has been historically used to refer to microbial invasion of the amniotic fluid, often as a result of prolonged rupture of the chorioamniotic membrane
  - 18 hour rupture is the appropriate cutoff for increased risk of neonatal infection
  
- *But*, it may also occur with:
  - apparently intact membranes
  - a relatively brief duration of membrane rupture

# CHORIOAMNIONITIS

- It refers to the clinical syndrome of intrauterine infection, which includes *maternal fever*, with or without local or systemic signs of chorioamnionitis:
  - uterine tenderness
  - foul-smelling vaginal discharge/ amniotic fluid
  - maternal leukocytosis
  - maternal and/or fetal tachycardia

# CHORIOAMNIONITIS

- It may also be asymptomatic, diagnosed only by:
  - analysis of the amniotic fluid
  - pathologic examination of the placenta
- The rate of histologic chorioamnionitis is *inversely* related to gestational age at birth

# TRIPLE I

- The term **intrauterine inflammation or infection at birth**, abbreviated as **Triple I** has become more accepted, because of:
  - the heterogeneous nature of conditions that can affect the mother and neonate

# Classification of Triple I and Isolated Maternal Fever

TERMINOLOGY	FEATURES
<b>Isolated maternal fever</b>	<p>Maternal oral temperature <math>\geq 39^{\circ}\text{C}</math> is considered a “documented fever.”            If the oral temperature is <math>\geq 38^{\circ}\text{C}</math> but <math>&lt; 39^{\circ}\text{C}</math>, repeat the measurement in 30 min:            If the repeat value is <math>\geq 38^{\circ}\text{C}</math>, it is considered a “documented fever.”</p>
<b>Suspected Triple I</b>	<p>Fever without a clear source with <i>any</i> of the following:</p> <ol style="list-style-type: none"> <li>1. Baseline fetal tachycardia (<math>&gt; 160</math> beats/min for 10 min)</li> <li>2. Maternal WBC <math>&gt; 15,000/\text{mm}^3</math></li> <li>3. Purulent fluid from the cervical os</li> </ol>
<b>Confirmed Triple I</b>	<p>All the above (from suspected Triple I) with <i>any</i> of the following:</p> <ol style="list-style-type: none"> <li>1. Amniocentesis-proven infection through positive Gram stain</li> <li>2. Low glucose of amniotic fluid or positive amniotic fluid culture</li> <li>3. Placental pathology consistent with infection</li> </ol>



# DIAGNOSIS

- Neonates with perinatal infections often present with nonspecific symptoms and signs
  - *Therefore*, the general diagnostic evaluation for the ill neonate should be followed
- Also, many clinical syndromes overlap
  - laboratory testing is usually required to establish a specific microbiologic etiology and guide management decisions

**EARLY- AND LATE-ONSET NEONATAL INFECTIONS**  
***(EOS-LOS)***

# COMPARISON OF CHARACTERISTICS

CHARACTERISTICS	EARLY-ONSET	LATE-ONSET	VERY-LATE-ONSET
<b>Time of acquisition</b>	before or during delivery (vertical mother-to-child transmission) <i>uncommon: horizontal acquisition (in developing countries)</i>	in the hospital or community	particularly in VLBW preterm infants or term infants requiring prolonged NICU care
<b>Time of presentation</b>	<7 days of age ( <i>some experts: within the 1<sup>st</sup> 72 hr of life</i> )	≥7 days of age ( <i>some experts: &gt;72 hr of life</i> )	after age 1 mo

# SOME OVERALL RISK FACTORS

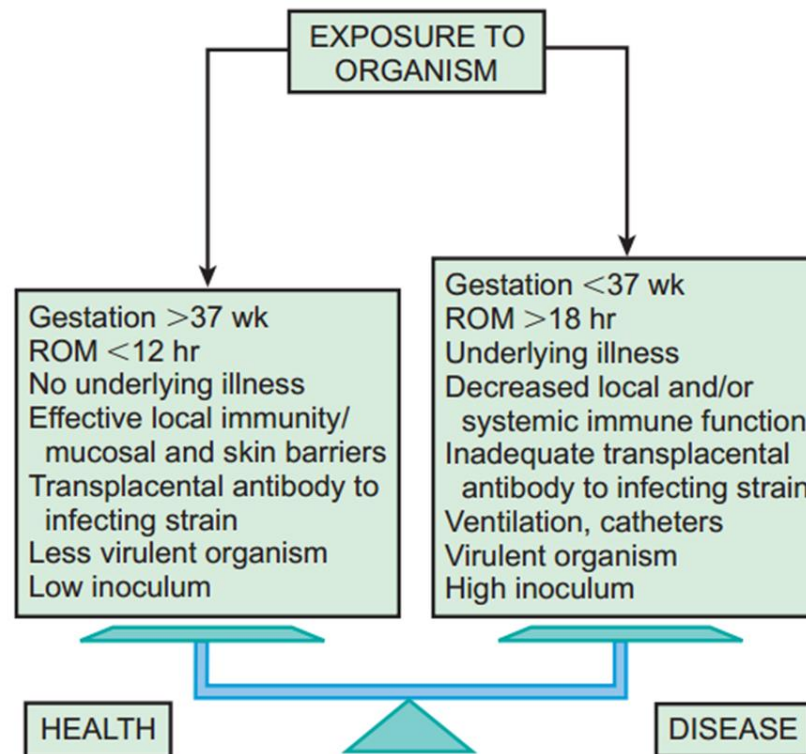
- prematurity or LBW
  - The most important neonatal factor
- term male infants
  - This sex difference is less clear in preterm LBW infants
- maternal chorioamnionitis
- altered immunity
  - congenital immune defects
  - mutations of genes involved in the innate immune system
  - asplenia
  - galactosemia (*infection with E. coli*)
- malformations leading to high inocula of bacteria
  - *for example*, obstructive uropathy

**PATHOGENESIS**  
***EARLY-ONSET INFECTIONS***

- *As previously discussed*, it is usually acquired *vertically* from the mother
- In developing countries, It has been suggested that some of the organisms responsible for EOS in the first week of life may be acquired *horizontally* due to:
  - lack of hygiene during and after delivery
  - poor cord care
  - unhygienic newborn care practices
- Horizontally acquired infection can also develop in the hours or days after birth *when colonized skin or mucosal surfaces are compromised*

# Factors influencing the balance between health and disease in neonates exposed to a potential pathogen

- Bacterial colonization does not always result in disease
- Factors influencing which colonized infant will experience disease are not well understood but some of them were showed below



**PATHOGENESIS**  
***LATE-ONSET INFECTIONS***

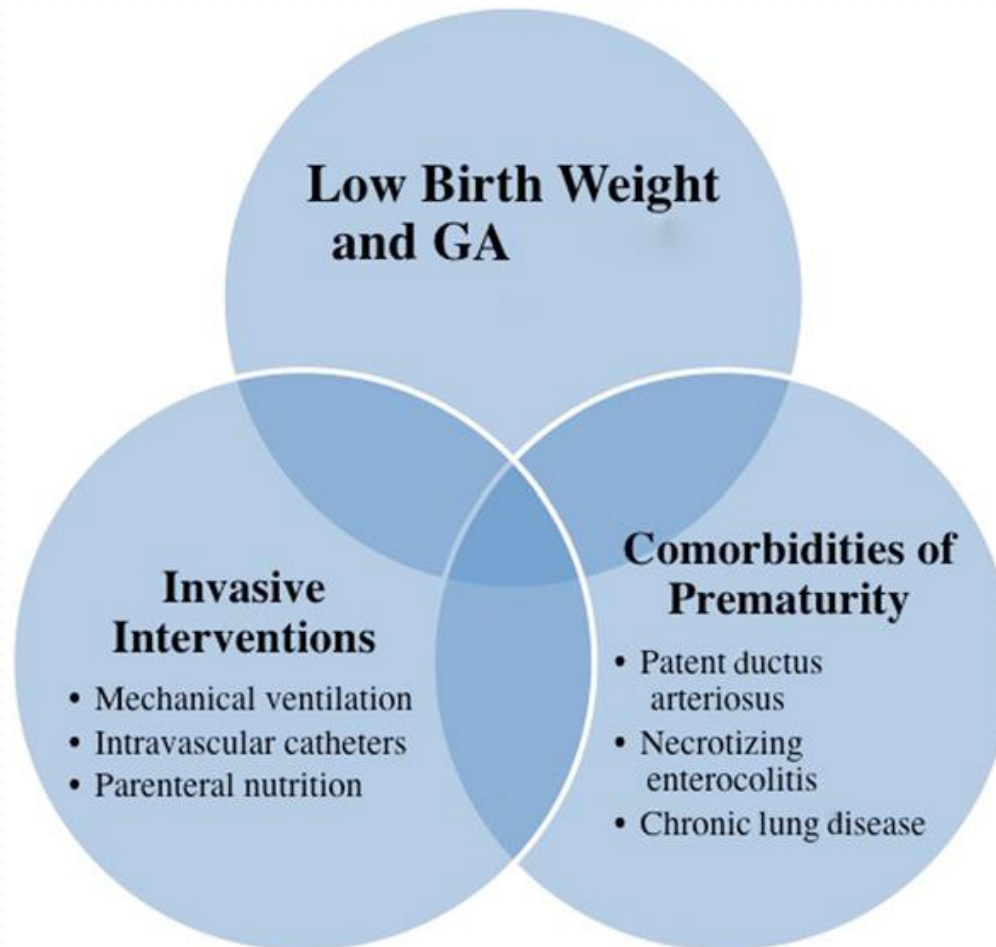


- *As previously discussed*, Late-onset infections can be acquired by the two following mechanisms:
  - **Horizontal transmission**: from direct contact with care providers or environmental sources
  - **Maternal vertical transmission (*uncommon*)**: resulting in initial neonatal colonization that evolves into later infection

- After birth, neonates are exposed to infectious agents in the:
  - NICU
  - Nursery
  - Community: including family
- Postnatal infections may be transmitted:
  - by direct contact with hospital personnel, the mother or other family members
  - from breast milk: HIV, CMV
  - from inanimate sources such as contaminated equipment
- The most common source of postnatal infections in hospitalized newborns is hand contamination of healthcare personnel
  - *It underscores the importance of hand washing*

- Metabolic factors:
  - are likely to contribute to *risk for* and *severity* of neonatal sepsis
  - These include:
    - hypoxia
    - acidosis
    - hypothermia
    - inherited metabolic disorders: *e.g.*, galactosemia

# FACTORS THAT CONFER A GREATER RISK FOR LOS



# **CLINICAL MANIFESTATIONS**

# BACTERIAL SEPSIS

- Signs and symptoms are often subtle and nonspecific
- Common initial signs that should raise suspicion for systemic or focal infection include:
  - temperature instability
  - tachypnea
  - lethargy
  - poor feeding

# INITIAL SIGNS AND SYMPTOMS OF INFECTION IN NEONATES

## GENERAL

Fever, temperature instability  
“Not doing well”  
Poor feeding  
Edema

## GASTROINTESTINAL SYSTEM

Abdominal distention  
Vomiting  
Diarrhea  
Hepatomegaly

## RESPIRATORY SYSTEM

Apnea, dyspnea  
Tachypnea, retractions  
Nasal flaring, grunting  
Cyanosis

## RENAL SYSTEM

Oliguria

## CARDIOVASCULAR SYSTEM

Pallor; mottling; cold, clammy skin  
Tachycardia  
Hypotension  
Bradycardia

## CENTRAL NERVOUS SYSTEM

Irritability, lethargy  
Tremors, seizures  
Hyporeflexia, hypotonia  
Abnormal Moro reflex  
Irregular respirations  
Full fontanel  
High-pitched cry

## HEMATOLOGIC SYSTEM

Jaundice  
Splenomegaly  
Pallor  
Petechiae, purpura  
Bleeding

# BACTERIAL SEPSIS

- *As mentioned in the two previous slides, neonates with bacterial sepsis may have either nonspecific manifestations or focal signs of infection, including:*
  - temperature instability
  - hypotension, poor perfusion with pallor and mottled skin
  - metabolic acidosis
  - tachycardia or bradycardia
  - apnea, respiratory distress, grunting, cyanosis
  - irritability, lethargy, seizures
  - feeding intolerance, abdominal distention, jaundice
  - petechiae, purpura, and bleeding



# BACTERIAL SEPSIS

- The initial manifestation:
  - may involve only limited symptomatology and only one system, such as apnea alone or tachypnea with retractions or tachycardia
  - *or* the infant may present with an acute catastrophic manifestation with multiorgan dysfunction and shock
- Infants should be reevaluated over time to determine whether the symptoms have progressed from mild to severe

# Serious Systemic Illness in Newborns: Differential Diagnosis of Neonatal Sepsis

## CARDIAC

### Congenital:

hypoplastic left heart syndrome  
other structural disease

PPHN

### Acquired:

Myocarditis  
hypovolemic or cardiogenic shock  
PPHN

## GASTROINTESTINAL

Necrotizing enterocolitis  
Spontaneous GI perforation  
Structural abnormalities  
Hepatic failure:  
inborn errors of metabolism  
neonatal iron storage disease

## HEMATOLOGIC

Neonatal purpura fulminans  
Immune-mediated thrombocytopenia  
Immune-mediated neutropenia  
Severe anemia  
Malignancies (congenital leukemia)  
Langerhans cell histiocytosis  
Hereditary clotting disorders  
Familial hemophagocytosis syndrome

## METABOLIC

Hypoglycemia  
Adrenal disorders:  
adrenal hemorrhage  
adrenal insufficiency  
CAH  
Inborn errors of metabolism:  
organic acidurias  
lactic acidosis  
urea cycle disorders  
galactosemia

## NEUROLOGIC

Intracranial hemorrhage:  
spontaneous  
caused by child abuse  
Hypoxic-ischemic encephalopathy  
Neonatal seizures  
Infant botulism

## RESPIRATORY

Respiratory distress syndrome  
Aspiration pneumonia:  
amniotic fluid  
meconium  
gastric contents  
Lung hypoplasia  
Tracheoesophageal fistula  
Transient tachypnea of the newborn

# TEMPERATURE INSTABILITY

- Fever or hypothermia may be the only initial manifestation of serious infection in newborns. However:
  - Only **approximately 50%** of infected newborn infants have a temperature  $>37.8$  ( $37.5$ )°C (*axillary*)
  - Most febrile infected infants have additional signs compatible with infection, although a focus of infection is not always apparent
- A single temperature elevation is infrequently associated with infection
  - Fever sustained **over 1 hour** is more likely to be caused by infection
- In premature infants, hypothermia or temperature instability requiring increasing ambient (incubator, warmer) temperatures is more likely to accompany infection

# TEMPERATURE INSTABILITY

- Fever in newborn infants does not always signify infection
- It may be caused by:
  - increased ambient temperature
  - incubator or radiant warmer malfunction
  - dehydration
  - CNS disorders
  - familial dysautonomia
  - hyperthyroidism
  - ectodermal dysplasia

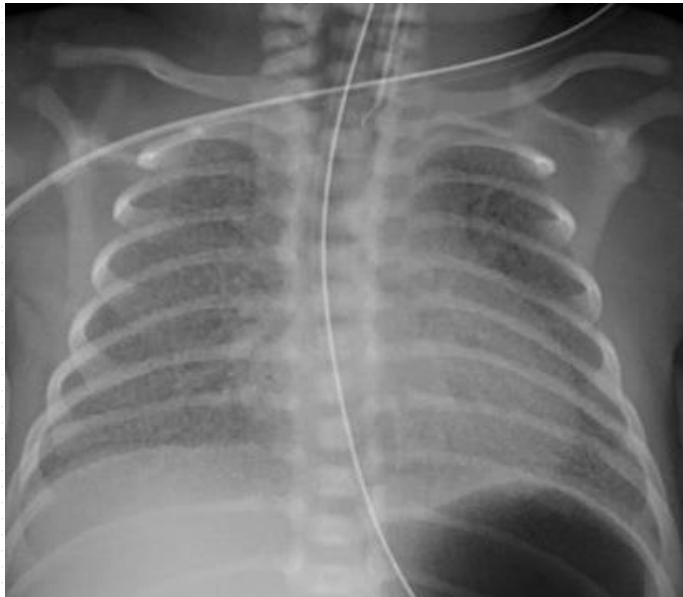
# RESPIRATORY AND CARDIOVASCULAR SYMPTOMS

- Early signs and symptoms of **pneumonia** may be nonspecific, including:
  - poor feeding, lethargy, irritability, cyanosis, temperature instability and the overall impression that the infant is not well
- Respiratory symptoms of increasing severity are:
  - grunting, tachypnea, retractions, nasal flaring, cyanosis, apnea and progressive respiratory failure
- If the infant is premature, signs of progressive respiratory distress may be superimposed on RDS or chronic lung disease (BPD)

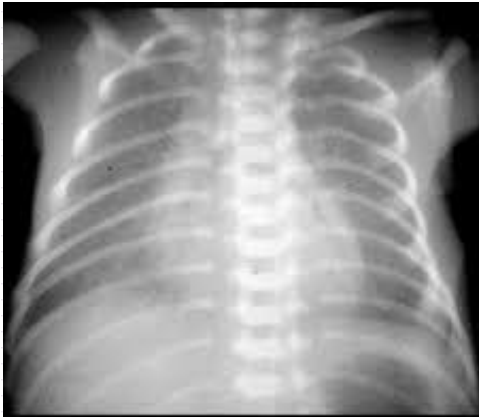
# RESPIRATORY AND CARDIOVASCULAR SYMPTOMS

- Signs of pneumonia on physical examination, such as dullness to percussion, change in breath sounds and the presence of rales or rhonchi are very difficult to appreciate in a neonate
- Radiographs of the chest may reveal new infiltrates or an effusion
- *But* if the neonate has underlying RDS or BPD, it is very difficult to determine whether the radiographic changes represent a new (infectious) process or worsening of the underlying disease

# ***RDS***



# ***PNEUMONIA***





# CONJUNCTIVITIS

- Conjunctival infection is relatively common and may be caused by a variety of organisms
- The presentation includes:
  - periorbital swelling
  - conjunctival injection
  - purulent conjunctival drainage

# CONJUNCTIVITIS

- Pathogens include:
  - *C. trachomatis* and *Neisseria gonorrhoea*: may be common causes
  - other gram-positive and gram-negative organisms: are occasionally involved
    - ✓ *Pseudomonas aeruginosa*:
      - an important pathogen in hospitalized VLBW infants
      - may be a precursor to invasive disease
  - Viral infections (e.g., HSV, adenovirus): are occasionally seen
    - ✓ Recognition of HSV infection is important to prevent corneal injury and dissemination to systemic sites

# SKIN AND SOFT TISSUE INFECTION

- Cutaneous manifestations of infection include:
  - omphalitis
  - cellulitis
  - Mastitis
  - subcutaneous abscesses

# SKIN AND SOFT TISSUE INFECTION

- Pustules likely indicate the presence of staphylococcal infection, *but* must be distinguished from the vesicular rash of HSV infection

## Staphylococcal pustulosis

- larger, pus-filled lesions 1 mm in diameter
- often scattered around the umbilicus

## HSV infection

- often appears as tiny vesicles in crops
- often on the scalp

# STAPHYLOCOCCAL PUSTULOSIS



# HSV INFECTION



# SKIN AND SOFT TISSUE INFECTION

- Ecthyma gangrenosum:
  - indicates infection with *Pseudomonas* spp. (*the most common pathogen*)
  - is rare except in VLBW infants

# ECTHYMA GANGRENOSUM

## *clinical features*

- The initial lesions of ecthyma gangrenosum appear as painless, round, red patches in the skin which rapidly become pustular with surrounding redness
- A hemorrhagic focus appears in the center, forming a blister





# ECTHYMA GANGRENOSUM

## *clinical features*

- As the haemorrhagic blister spreads peripherally, it evolves into a gangrenous ulcer with a black/gray scab surrounded by a red halo



- An early lesion may transform into a necrotic ulcer *in as little as 12 hours.*

# SKIN AND SOFT TISSUE INFECTION

- The presence of small, salmon pink papules suggests *L. monocytogenes* infection
- Mucocutaneous lesions suggest *Candida* spp.

# CANDIDA INFECTION- *CUTANEOUS LESIONS*



# CANDIDA INFECTION- *MUCOSAL LESIONS*

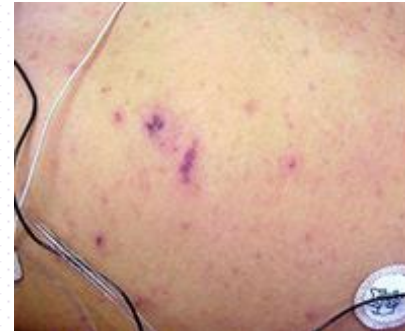


# **PETECHIAE AND PURPURA**

- Petechiae and purpura may be the result of systemic viral or bacterial infection

# PETECHIAE AND PURPURA IN NEONATAL SEPSIS

- **Petechiae:** pinpoint non-blanching spots
- **Purpura:** larger non-blanching spots (>2 mm)



# OMPHALITIS

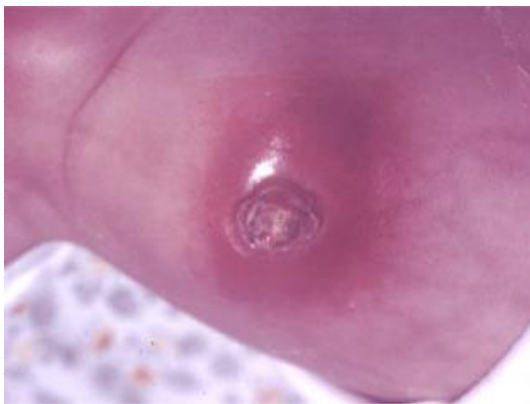
- It is a neonatal infection resulting from unhygienic care of the umbilical cord
- It continues to be a problem, particularly in developing countries
- The umbilical stump is colonized by bacteria from the maternal genital tract and the environment
- The necrotic tissue of the umbilical cord is an excellent medium for bacterial growth
- *Staphylococcus aureus* and gram-negative organisms are common pathogens involved

# OMPHALITIS

- Omphalitis may remain a localized infection or may spread to the abdominal wall, the peritoneum, the umbilical or portal vessels and the liver
- Abdominal wall cellulitis or necrotizing fasciitis, with associated sepsis and a high mortality rate, may develop in infants with omphalitis



# OMPHALITIS



# **DIAGNOSTIC APPROACH**

- One of the most challenging aspects of neonatal sepsis is determining if an infant who is clinically unstable is truly infected

- Maternal history and infant signs should guide diagnostic evaluation
- The maternal history provides important information about:
  - maternal exposures to infectious diseases
  - bacterial colonization
  - immunity: natural and acquired
  - obstetric risk factors: prematurity, prolonged ruptured membranes, maternal chorioamnionitis
- *Additionally*, as signs of systemic infection in newborn infants may be unrevealing, so laboratory investigation plays a particularly important role in diagnosis

# ***Clinical features and laboratory parameters that are useful in the diagnosis of neonatal infection or sepsis***

## **EVALUATION OF A NEWBORN FOR INFECTION OR SEPSIS**

### **HISTORY (SPECIFIC RISK FACTORS)**

Maternal infection during gestation or at parturition (type and duration of antimicrobial therapy):

- Urinary tract infection

- Chorioamnionitis

Maternal colonization with group B streptococci, *Neisseria gonorrhoeae*, herpes simplex

Low gestational age/birthweight

Multiple birth

Duration of membrane rupture

Complicated delivery

Fetal tachycardia (distress)

Age at onset (in utero, birth, early postnatal, late)

Location at onset (hospital, community)

Medical intervention:

- Vascular access

- Endotracheal intubation

- Parenteral nutrition

- Surgery

# ***Clinical features and laboratory parameters that are useful in the diagnosis of neonatal infection or sepsis***

## **EVALUATION OF A NEWBORN FOR INFECTION OR SEPSIS- *CONTINUED***

### **EVIDENCE OF OTHER DISEASES**

Congenital malformations (heart disease, neural tube defect)

Respiratory tract disease (respiratory distress syndrome, aspiration)

NEC

Metabolic disease (e.g., galactosemia)

### **EVIDENCE OF FOCAL OR SYSTEMIC DISEASE**

General appearance, neurologic status

Abnormal vital signs

Organ system disease

Feeding, stools, urine output, extremity movement

# ***Clinical features and laboratory parameters that are useful in the diagnosis of neonatal infection or sepsis***

## **EVALUATION OF A NEWBORN FOR INFECTION OR SEPSIS- *CONTINUED***

### **LABORATORY STUDIES**

#### *Evidence of Infection*

- Culture from a normally sterile site (blood, CSF, other)
- Demonstration of a microorganism in tissue or fluid
- Molecular detection (blood, urine, CSF) by specific PCR and/or 16S ribosomal DNA
- Maternal or neonatal serology (syphilis, toxoplasmosis)

#### *Evidence of Inflammation*

- Leukocytosis, leukopenia, increased immature/total neutrophil count ratio
- Acute-phase reactants: CRP, ESR, procalcitonin
- Cytokines: interleukin-6, interleukin-B, tumor necrosis factor
- Pleocytosis in CSF or synovial or pleural fluid
- DIC: fibrin degradation products, D-dimer

#### *Evidence of Multi-organ System Disease*

- Metabolic acidosis: pH, PCO<sub>2</sub>
- Pulmonary function: PO<sub>2</sub>, PCO<sub>2</sub>
- Renal function: BUN, creatinine
- Hepatic injury/function: bilirubin, ALT, AST, ammonia, PT, PTT
- Bone marrow function: neutropenia, anemia, thrombocytopenia

- Cultures and cell counts are obtained from blood and *(in suspected late onset sepsis)* urine
- CSF should be sent for Gram stain, routine culture, cell count with differential, and protein/glucose concentrations
- Surface swabs, blood and CSF are often obtained for HSV testing
- **Except for culture and directed pathogen testing, no single laboratory test is completely reliable for diagnosis of invasive infection in the newborn**



- Diagnostic tests for *early-onset sepsis* (other than blood or CSF cultures) are useful *for identifying infants with a low probability of sepsis*, but not at identifying infants likely to be infected

## PERIPHERAL WHITE BLOOD CELL COUNT AND DIFFERENTIAL COUNT

- CBC may demonstrate elevated or decreased WBC count, often with a shift toward more immature forms
- An immature-to-total phagocyte count (I/T ratio) ( $\geq 0.2$ ) has the best sensitivity of the neutrophil indices for predicting neonatal sepsis
- Thrombocytopenia can be *nonspecifically* seen in systemic bacterial or viral infection

## PERIPHERAL WHITE BLOOD CELL COUNT AND DIFFERENTIAL COUNT

- The timing of the white blood cell count is critical
- Counts obtained 6 to 12 hours after birth are more likely to be abnormal than those obtained at birth
- *Therefore*, once the decision is made to start empiric antimicrobial therapy soon after birth, it is worth waiting 6 to 12 hours before ordering a white blood cell count and differential count

- Hyponatremia, acidosis, and other electrolyte abnormalities can be seen
- Hyperbilirubinemia is nonspecific, but especially in the presence of elevated serum direct bilirubin levels, may be an indication of systemic infection
- Elevated serum transaminases may be a clue especially to systemic HSV or enterovirus infection

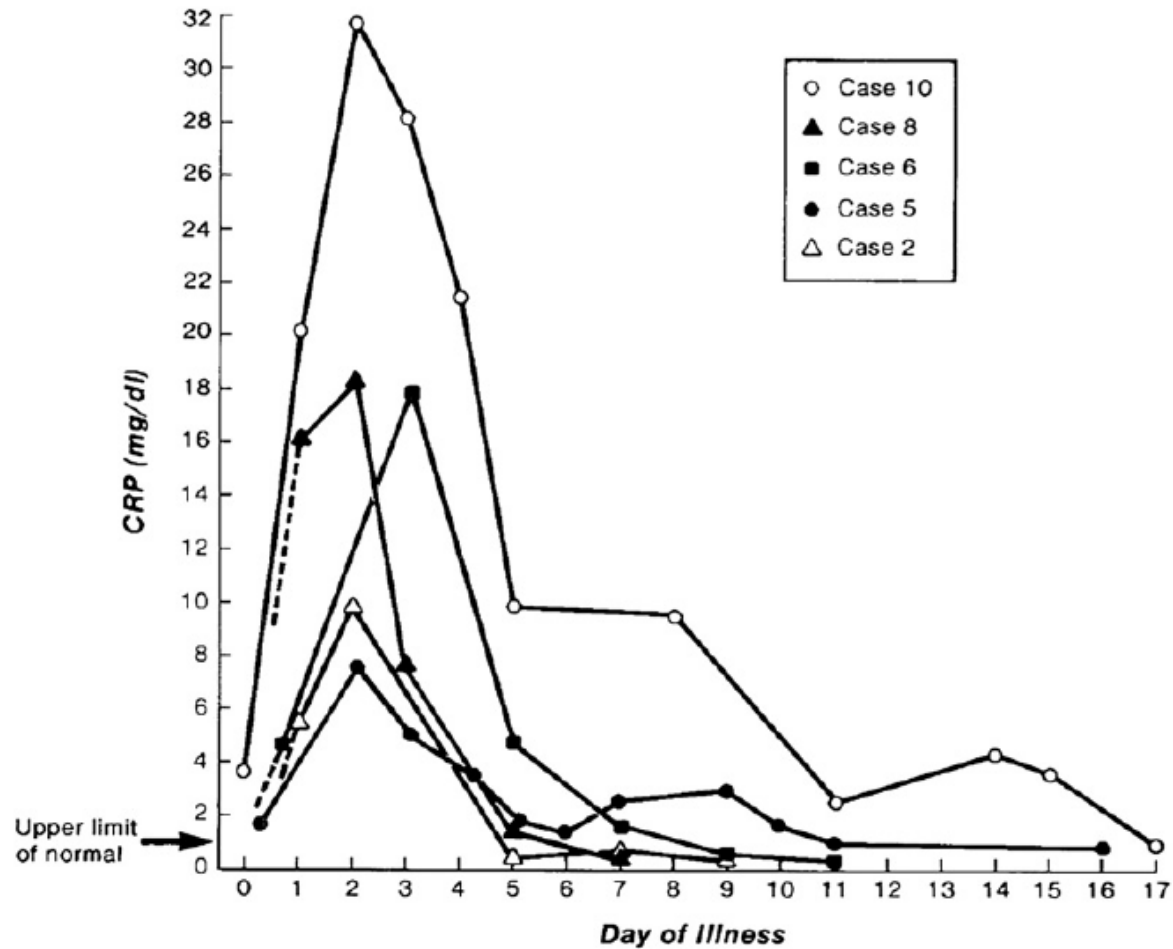
# SERUM BIOMARKERS

- Various serum biomarkers have been investigated for their ability to identify infants with serious bacterial infection
- Their value in the initial diagnosis of sepsis in the newborn period has yet to be clarified, as does the value of these biomarkers in determining optimal length of empirical therapy in infants with negative cultures

# CRP

- It is the most commonly used biomarker
- It is synthesized **within 6 hours** of exposure to an infectious process
- *Thus*, it's concentration increases **within 6 to 8 hours** of an infectious episode and usually becomes abnormal **within 24 hours**
- It peaks at **24 hours** (*most often* after 2-3 days)

## *Time course of CRP levels in neonates with GBS infection*



## CRP- *limitations*

- Because CRP takes up to 24 hours after the onset of an infection to become abnormal, it has little utility in assisting in the early detection of sepsis



## CRP- *limitations*

- CRP is also limited in that other processes in addition to infection can result in elevation, including:
  - maternal fever
  - fetal distress
  - stressful delivery and trauma
  - perinatal asphyxia and ischemia
  - meconium aspiration
  - IVH
  - viral infection
  - Hemolysis
  - chorioamnionitis without invasive fetal or neonatal disease
- One or more elevated CRP levels, in the absence of other data indicating that the infant is infected, should not constitute a sole indication for continuation of empiric antibiotic therapy

## CRP- *normal levels*

- It does have a high sensitivity, between 93% and 100%
- The sensitivity improves dramatically if the first determination is made *6 to 12 hours after birth*
- It has been demonstrated that excluding a value at birth, two normal CRP determinations (*8-24 hours after birth and 24 hours later*) have a negative predictive accuracy of *99.7%*
- If CRP determinations remain persistently normal, it is strong evidence that bacterial sepsis is unlikely, and empirical antimicrobial agents can be safely discontinued

## CRP- *elevated levels*

### A. Suspected (not documented) neonatal bacterial infection:

- In this case, where empiric antibiotic therapy is initiated, *sequential assessment of CRP* is useful in guiding the duration of treatment
  - It is useful in answering to the question *if* empiric antibiotic(s) should be continued or discontinued
- Infants with elevated CRP levels *that decrease to <1.0 mg/dl, 24 to 48 hours after the start of antibiotic therapy* typically are uninfected and generally do not require further antibiotic treatment

# CRP- *elevated levels*

## B. Infected infant(s):

- In this case, levels generally remain elevated until the infection is controlled
  - Therefore, CRP can serve as a marker of successful treatment
- In these infants, data are insufficient to recommend following sequential CRP concentrations to determine the duration of antimicrobial therapy in an infant with an elevated value
- Events that may indicate a significant complication (such as subdural empyema complicating bacterial meningitis)
  - failure of CRP levels to return to normal
  - recurrent elevation after an initial improvement

## OTHER SERUM BIOMARKERS

- Cytokines (both pro-inflammatory cytokines such as interleukin (IL)-6 and tumor necrosis factor- $\alpha$  and anti-inflammatory cytokines such as IL-4 and IL-10), chemokines, and other biomarkers are increased in infected infants
- Elevations of serum amyloid A and the cell surface antigen CD64 also have high sensitivity for identifying infants with sepsis
- Chest radiography is generally not indicated in infants without signs of respiratory infection

# BLOOD CULTURE

- It's used to confirm the diagnosis of sepsis
- No consensus guidelines exist for the number of blood cultures that should be obtained before initiation of empirical antibiotic therapy
  - Most often, only one blood culture is drawn when central venous catheter is not present or the catheter in place has no blood return
  - In one study examining the utility of one versus two blood cultures, a single blood culture *using a pediatric blood culture bottle with  $\geq 1$  mL of blood* resulted in no loss of accuracy in the diagnosis of sepsis in neonates

# BLOOD CULTURE

- The sensitivity of blood culture in detection of bacteremia is difficult to assess
- The sensitivity of one blood culture to detect neonatal bacteremia may be approximately 90 percent
- A false-negative rate of approximately 20% has also been suggested

# BLOOD CULTURE

- It has been suggested that a blood culture volume (*per culture bottle*):
  - **greater than 0.5 mL:** is adequate for reliable detection of bacteremia
    - ✓ 0.5 mL of blood would not reliably detect low-level bacteremia
  - **1 mL or greater:** is adequate for optimal detection



# **BLOOD CULTURE- *manual method***

- Isolation of a bacterial pathogen from blood with manual methods requires:
  - growth of the organism in liquid media
  - subculture of the organism on solid media
  - identification of the organism according to:
    - ✓ its characteristic appearance
    - ✓ growth properties
    - ✓ metabolism of various substrates
    - ✓ expression of certain surface proteins

## **BLOOD CULTURE- *manual method***

- Cultures (obtained before antibiotic administration) with manual methods:
  - 96% are positive **after 48 hours**
  - 98% are positive **at 72 hours**
- The entire process can **take up to 4 days** before the identity and sensitivities of a bacterium can be reported to the clinical care team

# **BLOOD CULTURE- *automated method***

- In most cases of neonatal sepsis, a blood culture via BACTEC system will become positive **within 24 to 36 (48) hours**
- One such system detects true positive bacterial cultures (excluding those yielding coagulase-negative staphylococci, corynebacteria, or yeast) obtained before antibiotic therapy:
  - 94% **within 24 hours**
  - 97% **within 36 hours**
  - few additional positive results **between 36 and 72 hours**

# URINE CULTURE

- A urine culture need not be routinely performed in the evaluation of an infant  $\leq 6$  days (<72 hours) of age
- A positive urine culture in the above setting is attributable to seeding of the kidney during an episode of bacteremia and a reflection of high-grade bacteremia rather than an isolated urinary tract infection

## ***Culture-Based and Non-Culture-Based Diagnostics for Neonatal Sepsis***

<b>CATEGORY</b>	<b>PARAMETER</b>	<b>OPTIMAL TIMING, VOLUME OF SPECIMEN, ROUTINE/INVESTIGATIONAL*</b>	<b>APPLICABILITY FOR NEONATAL SEPSIS</b>
<b>CULTURE BASED</b>			
Blood	Culture	>1 mL of whole blood, from 2 sites	Gold standard for bacteremia
CSF	Culture	When clinically feasible	Optimize antimicrobial therapy
Urine	Culture	>72 hr of life	Not useful for EOS; potential benefits for LOS
Tracheal aspirate	Culture	Neonates with endotracheal tube in place and signs of progressive respiratory distress	Usually reflects colonization
<b>NON-CULTURE BASED</b>			
Immune function	MHC II TNF- $\alpha$	Investigational Investigational	Both decreased in chorioamnionitis and sepsis
Neutrophil indices	Neutropenia Absolute neutrophil count Absolute immature neutrophil count	After 12 hr of life Consider GA, delivery mode, altitude versus venous sampling, time since birth	Neutropenia better predictor for sepsis than leukocytosis
Neutrophil markers	CD64	Elevated for 24 hr after infection Requires 50 $\mu$ L blood Results within hours	Cut points between 2.38 and 3.62 optimal sensitivity, specificity, and NPV for EOS
Platelet count	Thrombocytopenia and thrombocytosis	Investigational Late findings; slow to respond	Thrombocytopenia associated with fungal infection
CSF cell count	CSF WBC	Uninfected neonates: mean 10 cells/mm <sup>3</sup> ; range up to 20 cells/mm <sup>3</sup>	Does not predict culture-proven meningitis
CSF chemistries	CSF protein CSF glucose	Term <100 mg/dL Preterm higher; 70–80% of serum glucose	Elevated in fungal meningitis Low glucose specific for bacterial meningitis
Acute phase reactants	CRP Procalcitonin	8–24 hr after infection 2–12 hr after infection	Good NPV Better sensitivity but less specificity than CRP
Sepsis panels/scores		After 24 hr of life Investigational	Most useful for NPV and discontinuation of antimicrobial therapy

\* *Investigational* refers to an assay or parameter that is undergoing evaluation for clinical use and applicability

# **GENERAL APPROACH TO MANAGEMENT**

- In the absence of specific signs of focal infection, therapy for presumed infection in the neonate is often empirical and initiated on the basis of fever or hypothermia, listlessness, irritability or apneic episodes
- Antibiotics are chosen to cover the organisms typically causing neonatal sepsis, including GBS, gram-negative organisms, *Listeria*, and *Enterococcus*

- An empirical regimen for suspected EOS in a term or late preterm infant is **ampicillin** and **gentamicin**
- This has long been a standard regimen for EOS and provides coverage for the most prevalent organisms, predominantly GBS and gram-negative ones
- Ampicillin plus **cefotaxime** (if available) or **cefepime** may be substituted:
  - if the patient presents with infection after discharge from the nursery
  - when infection with ampicillin-resistant *E. coli* is suspected



- Definitive therapy is based on identification and susceptibility of the offending organism
- In almost all circumstances, the *least broad* antibiotic with activity against the organism is chosen

- Duration of therapy depends on the organism and the site of infection
  - In neonates with culture-proven sepsis, the usual course of therapy is 10 days
  - Longer treatment courses may be warranted if a specific focus of infection is identified, *for example* meningitis, osteomyelitis, septic arthritis

- In infants with a negative blood culture but a clinical status that remains concerning for a systemic infection, antibiotic therapy can be extended for as long as a total of **5 to 10 days**
- Sepsis is unlikely in these infants if they remain well and the blood culture is sterile at 48 hour
  - Empirical antibiotic therapy should be discontinued after 48 hour in these neonates